



Guidelines for the Registration and Recognition of Startups



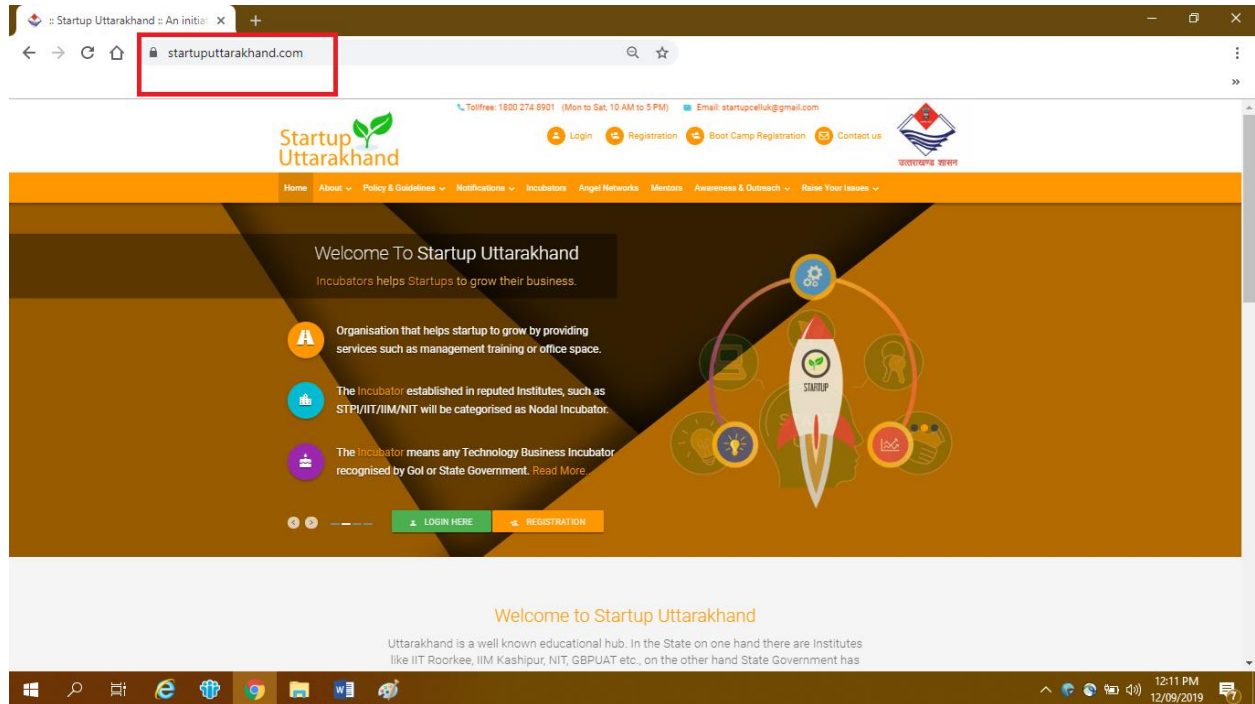
ELIGIBILITY CRITERIA FOR GIVING STARTUP RECOGNITION

- a) Any entity willing to get recognised as startup in Uttarakhand shall fulfill all the below mentioned conditions
- The Startup should be an entity incorporated as a private limited company (as per the Companies Act 2013) or registered as a partnership firm (under the Partnership Act, 1932 or a limited liability partnership (under the Limited Liability Partnership Act, 2008) AND
 - The startup should have been incorporated in Uttarakhand or should operate from Uttarakhand and employs at least 50 percent of its total qualified workforce from Uttarakhand, which shall not include contract employees AND
 - An entity shall be considered as a startup up to 7 years from the date of its incorporation and in case the entity is operating in the engaged in the biotech sector, up to 10 years from the date of its incorporation AND
 - Turnover should be less than INR 25 Crores in any of the previous financial years AND
 - Such an entity should not be an extension of existing family business; or formed by splitting up or reconstruction of a business already in existence AND
 - The Startup should be working towards innovation / improvement of existing products, services and processes and should have the potential to generate employment / create wealth. An entity formed by splitting up or reconstruction of an existing business shall not be considered a "Startup
- b) In case, any entity which has been recognised as startup by Department for Promotion of Industry and Internal Trade (DPIIT), the startup shall fulfill the below condition to get recognition under Startup Uttarakhand
- The startup should have been incorporated in Uttarakhand and should operate from Uttarakhand or employs at least 50 percent of its total qualified workforce from Uttarakhand, which shall not include contract employees

REGISTRATION ON STARTUP PORTAL BY STARTUPS

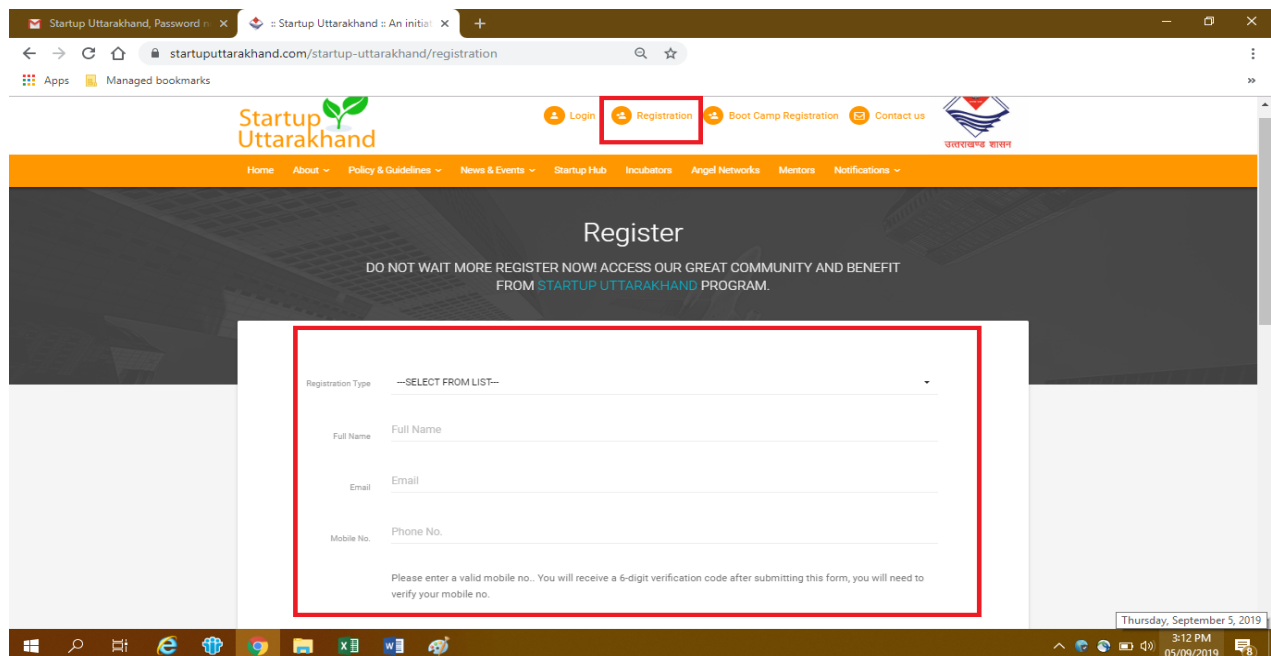
Visiting the Portal

- Any person who has an innovative project/idea and wants to establish his/her startup can register themselves online at the Uttarakhand Startup Portal by visiting at <https://startuputtarakhand.com/>



Creating the User Id

- The startup should have a valid e-mail id, Mobile Number and a legal entity (as defined in Uttarakhand startup policy) to start registration process
- The startup should fill the basic details like name of the entity, name of the founder, selection of the user name and password to create an user id on the startup portal



Filling the details of Startups and uploading required Documents

- Once the user account is created, the startup is required to filling a standard form asking for the following details
 - registration details of the entity (nature of entity, industry, sector, Category, registration number, name of entity, date of incorporation, PAN number (Uploading of the certificate of incorporation)
 - certificate number of DPIIT recognition (If applicable)
 - address of the entity,
 - number of founders, co-founders and employees,
 - stage of the startup (ideation, Validation, Early Traction, Scaling),
 - IP Assets of the startups
 - description about innovation, business model,
 - Any awards/recognition received by the entity
 - What is the problem the startup is solving
 - How does the startup propose to solve this problem
 - What is the uniqueness of the solution
 - What is the revenue model of the startup
 - Upload the Pitch Deck
 - Giving Declaration

The screenshot displays the 'Startup Recognition Application' form on the Startup Uttarakhand website. The form is titled 'Startup Recognition Application' and includes a 'View Comments' button. It is divided into three main sections: ENTITY DETAILS, CORRESPONDENCE ADDRESS, and ENTERPRISE ADDRESS.

ENTITY DETAILS

Entity Type --Select from list--	Sector --Select from list--	Industry Manufacturing	Final Product/Service/Trade Final Product/Service/Trade
Name of Entity Entity Name	Incorporation / Registration Date	Incorporation / Registration No. Incorporation / Registration No.	DIPP Certificate Number(if applicable) DIPP Certificate Number

CORRESPONDENCE ADDRESS

Address Line 1 Address Line 1	Address Line 2 Address Line 2	Address Line 3 Address Line 3	City/Village City/Village
State/Union Territory State/Union Territory	Pin Code Pin Code		

ENTERPRISE ADDRESS

☐ Is Enterprise address same as above

Selection of the Nodal Agency and Submitting the Application

- Once the application form is filled with all the required information, the startup can submit its application after selecting the desired Nodal Agency for further action

The screenshot shows the 'Add Startup Application' page on the Startup Uttarakhand portal. The page has a navigation bar with 'DASHBOARD', 'APPLICATIONS', 'GRIEVANCE', and 'FEEDBACK'. The main content area lists documents for due diligence, each with an 'ATTACHMENT' button. A dropdown menu for 'Send to Nodal Agency' is open, showing options like 'Technology Business Incubator Graphic Era' and 'TIDES Business Incubator'. At the bottom right, there are buttons for 'SAVE AS DRAFT' and 'SUBMIT TO NODAL AGENCY'.

Document for Due Diligence – Startup

Accepted formats: gif, png, jpg, pdf, doc, docs.

Signed Shareholder Agreement and covering letter duly certified by a registered Chartered Accountant/statutory auditor authorizing the funding

ATTACHMENT

Enclose copies of Annual / Quarterly Report of Balance Sheets and Profit and Loss Accounts duly certified by a registered Chartered Accountant/statutory auditor

ATTACHMENT

Workforce Proof: Employee State Insurance (ESI) and Provident Fund (PF) challan for the startup highlighting the workforce duly certified by a registered Chartered Accountant/statutory auditor. In case of an entity (where ESI / PF is not applicable), Enclose a workforce detail in a Letter duly certified by a registered CA/ Statutory Auditor.

ATTACHMENT

Patent certificate

ATTACHMENT

Sanction / Assurance Letter of funding / grant by Government of India or any State Government

ATTACHMENT

Send to Nodal Agency

--Select from list--

--Select from list--

Technology Business Incubator Graphic Era (Favour of - Technology Business Incubator Graphic Era University)

TIDES Business Incubator (Favour of -)

SAVE AS DRAFT

SUBMIT TO NODAL AGENCY

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Examination of Application by Nodal Agency and forwarding its Recommendation

- Nodal Agency after examination of the application and documents submitted by startups shall give its recommendation to Task Force

The screenshot shows the 'View Startup Application' page from the perspective of a Nodal Agency. The page has a navigation bar with 'DASHBOARD', 'APPLICATIONS', and 'GRIEVANCE'. The main content area shows the application details, including a list of documents and their status. A 'Comments (Min 200 Characters)' field is highlighted with a red box. At the bottom right, there are buttons for 'SEND BACK TO STARTUP' and 'SUBMIT TO TASK FORCE'.

Terms and Conditions

Are you part of an incubator? If yes, then drop down of incubator list.

Recommendation by Nodal Agency

Document for Due Diligence – Startup

Accepted formats: gif, png, jpg, pdf, doc, docs.

Signed Shareholder Agreement and covering letter duly certified by a registered Chartered Accountant/statutory auditor authorizing the funding

Operating Guideline for Startup Uttarakhand.pdf

Enclose copies of Annual / Quarterly Report of Balance Sheets and Profit and Loss Accounts duly certified by a registered Chartered Accountant/statutory auditor

Operating Guideline for Startup Uttarakhand.pdf

Workforce Proof: Employee State Insurance (ESI) and Provident Fund (PF) challan for the startup highlighting the workforce duly certified by a registered Chartered Accountant/statutory auditor. In case of an entity (where ESI / PF is not applicable), Enclose a workforce detail in a Letter duly certified by a registered CA/ Statutory Auditor.

Operating Guideline for Startup Uttarakhand.pdf

Patent certificate

Operating Guideline for Startup Uttarakhand.pdf

Sanction / Assurance Letter of funding / grant by Government of India or any State Government

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Comments (Min 200 Characters)

SEND BACK TO STARTUP

SUBMIT TO TASK FORCE

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Tracking the Application by the Startups

- The startups can track the status of their application by logging into their account

The screenshot displays the 'Startup Uttarakhand' web application interface. The top navigation bar includes links for DASHBOARD, APPLICATIONS, GRIEVANCE, and FEEDBACK. The user profile 'SIDHARTH SHUKLA' is visible in the top right corner.

The main content area is divided into two sections:

- Recommendation by Nodal Agency:** This section lists required documents for due diligence, such as 'Signed Shareholder Agreement' and 'Workforce Proof'. Each document has a corresponding 'Operating Guideline for Startup Uttarakhand.pdf' link.
- Send to Nodal Agency:** This section is highlighted with a red box. It shows the 'Technology Business Incubator Graphic Era (Favour of - Technology Business Incubator Graphic Era University)' as the nodal agency. Below this, the 'Application Status' is 'Pending', and there is a 'Comments (If Any)' section.

The bottom section, 'Startup Recognition Application', is also highlighted with a red box. It contains a table with application details:

APPLICATION DETAILS	
Application No. STARTUP/0165/2019	Applicant Name Sidharth Shukla
<div>Startup Recognition Application Download Application</div> <div>Application Domain Task Force</div>	

Below the application details, the 'ENTITY DETAILS' section provides information about the entity, including its type (Limited Liability Company), sector (Others), industry (Manufacturing), and final product/service/trade (Medical Device). The 'CORRESPONDENCE ADDRESS' section lists the address lines, city/village (Dehradun), and pin code (249007).

The Decision of Task Force on giving Recognitions to Startups

- Considering the recommendation of the Nodal Agency and subject to the fulfillment of all required conditions for recognition, the Task Force shall take a decision on giving recognition to the startups

Issuance of the Certificate

- The applications which are shortlisted by the Task Force for giving startup recognition shall be issued online a Certificate of Recognition

Eligibility after being recognised as Startup

- Once an entity get recognition, it shall become eligible to the benefits and incentives under the Uttarakhand startup policy for which a separate online application shall be made by the recognized startups.
- The distribution of the benefits and incentives to the startups who have applied for the same shall be subjected to the approval of the committee.